

Subject: Re: Endorsement

Date: Tuesday, July 19, 2022 at 8:42:10 AM Mountain Daylight Time

From: Stephen Kohn

From: Dave Banerjee <davebanerji@gmail.com>

Date: Monday, July 18, 2022 at 11:40 AM

To: Stephen Kohn <sakohn7@gmail.com>

Subject: Re: Endorsement

I have known Stephen Kohn since we both served on a district committee. During that brief period, he echoed the same sentiments I had on positive reform at FINRA and we both ended up supporting each other to lay the groundwork for successive small firm members. I found him to be erudite, knowledgeable and effective.

Since then I have relied upon him for advice and direction to the more than 400 member firms that I was associated with since 1979. To have him serve on the Board of Governors will be fantastic because of his mindset to help small firms. I fully support his candidacy and you should too.

Dave Banerjee

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