

Chatsworth Securities LLC

As a FINRA Broker Dealer my firm stands behind and urges FINRA Small Firms to vote for:

**Stephen A. Kohn, President
DMK Advisors Group, Inc.**

Stephen Kohn has been a stalwart supporter of small firms for 15 years. He understands the myriad pressures small firms endure to survive and prosper and understands how FINRA can help us or hurt us.

Mr. Kohn has strong credentials and accomplishments that will serve the community of small firms:

- Experience with the industry through his ownership of Stephen A. Kohn & Associates, Ltd. (SAKL), a FINRA small member firm, located in Lakewood, Colorado and founded in 1996.
- Elected to the FINRA Board of Governors to represent Small Firm points of view serving on the Regulatory Policy and Audit Committees.
- Elected to the National Adjudicatory Council (NAC), FINRA's appellate division, in 2009 and in 2014.
- During his tenure on the NAC, he served on the Sanction Guideline Review and Revision Sub-Committee.
- He is also an industry arbitrator and has served on the District 3 Committee.

Please take the opportunity to speak to Mr. Kohn. You will understand his devotion to our industry and the energy he would bring to the mission if elected.

Mr. Kohn holds the following securities licenses: Series, 7, 14, 24, 53, 63, 72, 73, 79 and 99. He graduated from C.W. Post College of Long Island University in 1964 with a BA degree. He has served in the U.S. Coast Guard.

(Note: On January 1, 2020 ownership of SAKL was turned over to DMK Advisor Group, Inc. (DMK). He has assumed the role of president of DMK and, will continue as such well into the future.)

Sincerely,



Ralph DiFiore
Senior Managing Director