



STEPHEN A. KOHN, President

**Stephen A. Kohn & Associates, Ltd.
(A Small Firm Member)
3232 South Vance Street, Suite 210
Lakewood, Colorado 80227**

I will not promise anything I cannot deliver. I do promise to represent your small firm interest in this overreaching, Regulatory environment.

**FINRA ELECTION 2017
BOARD OF GOVERNORS, SMALL FIRM SEAT
PETITION TO NOMINATE A CANDIDATE FOR
THE BOG – SMALL FIRM CATEGORY**

Ms. Marcia Asquith
Corporate Secretary
1735 K Street, NW
Washington, DC 20006-1506

This Nominating Petition is submitted by

Name of Member Firm

In support of the nomination for Board of Governors (Small Firm):

STEPHEN A. KOHN, President

Stephen A. Kohn & Associates, Ltd.
(A Small Firm Member)
3232 South Vance Street, Suite 210
Lakewood, Colorado 80227

Phone: (303) 996-5680
Fax: (915) 200-1381

This document supersedes any and all previously signed and submitted petitions.

Member Firm's Executive Representative

Date

Printed name of the Executive Representative

Firm CRD Number/email Address

IMPORTANT: PLEASE SIGN and DATE IMMEDIATELY AND FAX TO (915) 200-1381

Or, scan and email back to sakohn7@gmail.com.

Kindly note per FINRA Bylaws:

"No member may endorse more than one such nominee."

So, please sign this and no other petition for the Small Firm Category.

Thank you!