

FINRA: The Other Virus

**We've been dealing with the FINRA Virus for more than 13 years.
There is no cure. It continues to grow.**

In the middle of a pandemic many of us continue to work, remotely, with no end in sight. Life will never be the same. But, one thing is certain, it will go on and the FINRA virus will continue to take its toll, outlasting us all.

- **Arbitrations are a sham.**
- **FINRA awards the Industry fees & costs in only 3% of the cases.**
- **A startling 98% of all the upfront costs are paid by the industry.**
- **The longer the case runs the more money FINRA earns, the more it costs you. Plaintiffs pay low filing fees which encourages them to file frivolous cases.**
- **You will lose money 93% of the time in a FINRA arbitration.**
- **Old, retired, forgetful, bad hearing, no degree, or industry experience, required to be an arbitrator. There will not be an arbitrator with industry experience on the panel, to your disadvantage.**
- **Clients are liars and are never penalized for false accusations that may ruin your career. Unadjudicated complaints go on public view – BrokerCheck.**
- **We lost 4 Member Firms every week in 2019. Who knows what the count will be in 2020 with the two virus' we're dealing with?**
- **We lost 848 Registered Reps every week in 2019. Who knows what the count will be in 2020 with the two virus' we're dealing with?**

**Please, take a moment to send me your Petition,
Get me on the Ballot,**

**Keep me on the Board to continue to
stop these Horrible Injustices**

**Stephen Kohn for the Board of Governors
(The voice of Small Business)**

[I get our issues out in the open.](#)

As always, feel free to call or email.

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