

# SUPERIOR COURT OF CALIFORNIA, COUNTY OF LOS ANGELES

DATE: 05/18/15

DEPT. 52

HONORABLE Susan Bryant-Deason

JUDGE

M.F. LOPEZ

DEPUTY CLERK

HONORABLE  
#11

JUDGE PRO TEM

ELECTRONIC RECORDING MONITOR

T. ISUNZA, C.A.

Deputy Sheriff

TRACY DYRNESS, CSR #12323  
(REPORTER IN PRO TEMPORE)

Reporter

8:30 am

BS151127

Plaintiff

KASUMI TAKAHASHI (X)

Counsel

G. THOMAS FLEMING III (X)

ROYAL ALLIANCE ASSOCIATES INC

VS

Defendant

NORMAN H. LEVINE (X)

SANDRA L LIEBHABER ET AL

Counsel

LEONARD STEINER (X)

VIA COURT CALL:

BETTY G. BROOKS (X)

## NATURE OF PROCEEDINGS:

PETITION TO CONFIRM ARBITRATION AWARD;

APPLICATION OF TERRI L. REICHER TO APPEAR AS COUNSEL  
PRO HAC VICE;

APPLICATION OF B.G. BROOKS TO APPEAR AS COUNSEL PRO  
HAC VICE;

(C/F 5/15/15)

Matter is called for hearing.

The court grants applications of Terri L. Reicher and  
B.G. Brooks to appear as counsel pro hac vice. Orders  
are signed and filed this date.

Hearing on petition to confirm arbitration award is  
held.

The court having read the papers and heard the  
arguments rules as follows:

The petition to confirm the arbitration award is  
DENIED. The alternative request by Claimant Sandra  
Liebhaber ("Liebhaber") to vacate the arbitration  
award is GRANTED on the ground that Liebhaber's  
rights were substantially prejudiced by misconduct  
of the arbitrators, the arbitrators exceeded their  
powers, and Liebhaber's rights were substantially

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prejudiced by refusal of the arbitrators to hear evidence material to the controversy. Specifically, the arbitrators violated FINRA Rule 2080 governing expungement of the CRD records of Kathleen Tarr by allowing Ms. Tarr to provide an unsworn statement in support of expungement while also preventing Liebhaber's attorney from cross-examining Ms. Tarr in order to determine if the requirements of Rule 2080 were met.

Petitioner's evidentiary objections to evidence in support of the answer filed by Respondent, Sandra L. Liebhaber, are SUSTAINED as to Objection Nos. 6 and 7, and are OVERRULED as to others. Indeed, Exhibits 4, 5, 6, and 7 attached to the answer are subject to judicial notice by this court.

Counsel Norman H. Levine is to give notice.

## CLERK'S CERTIFICATE OF MAILING

I, the below-named Executive Officer/Clerk of the above-entitled court, do hereby certify that I am not a party to the cause herein, and that on this date I served the MINUTE ORDER upon each party or counsel named below by placing the document for collection and mailing so as to cause it to be deposited in the United States mail at the courthouse in LOS ANGELES,

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Counsel G. THOMAS FLEMING III (X)

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VS  
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Defendant NORMAN H. LEVINE (X)  
Counsel LEONARD STEINER (X)

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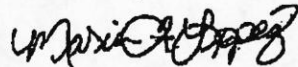
## NATURE OF PROCEEDINGS:

California, one copy of the original filed/entered herein in a separate sealed envelope to each address as shown below with the postage thereon fully prepaid, in accordance with standard court practices.

Dated: 05/18/2015

Sherri R. Carter, Executive Officer/Clerk

By:



M.F. LOPEZ

Norman H. Levine, Esq.  
GREENBERG GLUSKER  
1900 Avenue of the Stars, 21st Floor  
Los Angeles, CA 90067

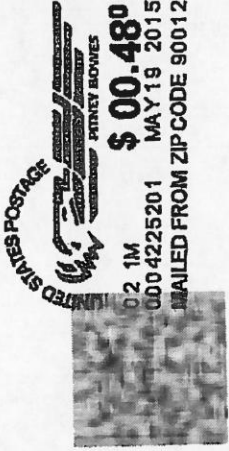
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COUNTY OF LOS ANGELES**

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