

Maine Securities Corporation

15 Monument Square
Portland, ME 04101-6425
207.775.0800
207.775.0099 (fax)

Member: FINRA, SIPC, MSRB

The reason—the only reason-- that small firms like yours and ours can nominate our own FINRA board candidates today is because of pioneers like Stephen Kohn. Years ago, our regulators and the large firms nominated the candidates to “represent us” on their board. Stephen and a handful of others, dared to challenge the establishment. We owe this ‘right’ to the tireless efforts of people like Stephen that today allows us to nominate and elect our own! Stephen is not just the only candidate running with a proven, 15+ year history of standing up for those of us who are the really small firms. He is also the only candidate who is working to assure that a succession of persevering, small member firms will be represented on FINRA’s board for years to come. Anytime Stephen Kohn is on a ballot, he’s going to get the support of our 35+year old B/D. He is on top of the issues. He does more than just talk and send emails. He gets stuff done!

Bradley C. McCurtain

President & CEO