

District Court Broomfield County, Colorado

Court Address: 17 Descombes Drive
Broomfield, CO 80020

DATE FILED: May 15, 2018 11:12 AM
CASE NUMBER: 2018CV30052

Petitioner:

SEAN MICHAEL MURPHY

v.

Respondent:

FINANCIAL INDUSTRY REGULATORY AUTHORITY,
INC.

▲ COURT USE ONLY ▲

Case Number: 2018CV30052

Division: B Courtroom:

ORDER FOR CONFIRMATION OF ARBITRATION AWARD

This Court having reviewed the request for Confirmation of an Arbitration Award submitted by the Petitioner, the Partial Opposition to Motion to Confirm Arbitration Award, and the Answer to Respondent’s Partial Opposition to Motion to Confirm Arbitration Award, the Court is fully apprised and hereby orders:

For the reasons stated in the Petitioner’s Answer to Respondent’s Partial Opposition to Motion to Confirm Arbitration Award, the Motion is GRANTED.

The Court Orders that the request for Confirmation of an Arbitration Award supports confirmation of the 2017 arbitration award issued re: *Sean Michael Murphy v. Eastbrook Capital Group LLC, Maxim Group LLC, and Nichols, Safina, Lerner & Co., Inc.*, FINRA Arbitration No. 17-01566, to the extent that the award recommends the expungement of all four customer disputes on Murphy’s CRD record.

Judgement is entered confirming the expungement award in FINRA Case #17-01566 and directing the arbitration award to be executed to allow the expungement of the customer dispute from FINRA’s CRD system.



May 15, 2018

Date: _____

Judge