

# PETITION FOR NOMINATION

# Jim R. Webb



1600 Pennsylvania Avenue  
McDonough, GA 30253  
Office: (678)-583-1120 x114  
jrwebb@capesecurities.com

*Financial Industry and Regulatory Authority, Inc. (FINRA)  
2017 Board of Governors' Election  
in accordance with applicable By-Laws of FINRA.*

I hereby certify that I am an Executive Representative of a FINRA member firm and as such I am eligible to cast one vote for the following candidate. I hereby nominate the following candidate for the Board of Governors election. I further certify that I am eligible to nominate on behalf of my member firm the following name to be placed on the ballot for election to the FINRA Board of Governors.

I hereby nominate only those candidates for election that I am permitted to nominate under the applicable FINRA By-Laws. Please include the following candidate nominated by me under this petition on the Board of Governors' ballot for the upcoming Board of Governors' election.

Small Firm Candidates with 150 or fewer Registered Representatives:

**Jim Webb 3-year term CEO of Cape Securities, Inc.**

**(678) 583-1120 x 114 JRWEBB@CAPESECURITIES.COM**

\_\_\_\_\_  
Name of FINRA Member Firm

\_\_\_\_\_  
Firm Size/Firm CRD Number

\_\_\_\_\_  
Print name of Executive Representative

\_\_\_\_\_  
Signature of Executive Representative  
Please sign immediately and fax or scan and email to:

**(678) 583-1258 (fax)**

